

Annexure A: Details of Mandatory display

1. Publishing of Investor Charter as per Exchange circular no- NSE/ISC/50597 dated December 14, 2021 and NSE/ISC/52484 dated June 01, 2022 and display of Investor Grievance Escalation Matix as per Exchange circular no- NSE/ISC/52517 dated June 03, 2022 and NSE/ISC/54332 dated November 09, 2022.
2. Basic details of the Trading Member such as registration number, registered address of Head office and branches along with Contact Number and Email id.

Stock Broker Name	Registration Number	Registered Address	Branch Address (if any)	Contact Number	Email id
ULJK Financial Services Pvt. Ltd.	INZ0002529732	1119/1120, Stock Exchange Towers, Dalal Street, Fort, Mumbai – 400 001	No	9122-66510110 / 66510111	customersupport@uljk.in

3. Escalation Matrix:

Details of	Contact Person	Address	Contact No.	Email Id
Customer care	Ashok Kadam	1119/1120, Stock Exchange Towers, Dalal Street, Fort, Mumbai – 400 001	9967779678	customersupport@uljk.in
Head of Customer care				
Compliance Officer	Nilesh Mavani	1119/1120, Stock Exchange Towers, Dalal Street, Fort, Mumbai – 400 001	9833241938 / 022-66510139	customersupport@uljk.in

Sr. No.	Name of the Individual	Designation	Mobile Number	Email Id
1	Madhavi Umesh Vora	Managing Director	9821087776	madhavivora@uljk.in
2	Aditya Umesh Vora	Director	9821551764	aditya.vora@uljk.in
3	Nilesh Mavani	Compliance Officer	9833241938	customer@uljk.in

iv. Details of Authorized Persons

List Of Authorised Persons (AP)										
Sr · No	Authorised Person's Name	Authorised Person Code (Exchange wise)	Constitut ion	Status (Approved / Cancelled)	Registered Address				Terminal Details (Exchange Wise)	
					Add	City	State	Pin code	Terminal Allotted (Y/N)	No. of Terminals
1	NIL									
2										
3										
4										
5										

List of Authorised Persons (AP) Cancelled by Members on Account of Disciplinary Reasons				
Sr. No.	Authorised Person's Name	Status	Authorised Person Cancellation Details	
			Date	Reason
1	NIL			
2				
3				
4				

Annexure B: - Formats of Mandatory display
i. Basic details of the SB such as registration number, registered address of Head Office and branches if any -

Stock Broker Name	Registration Number	Registered Address	Branch Address (if any)	Contact Number	Email id
ULJK Financial Services Pvt. Ltd.	INZ0002529732	1119/1120, Stock Exchange Towers, Dalal Street, Fort, Mumbai - 400 001	No	9122-66510110 / 66510111	customersupport@uljk.in

Escalation Matrix:

Details of	Contact Person	Address	Contact No.	Email Id
Customer care	Ashok Kadam	1119/1120, Stock Exchange Towers, Dalal Street, Fort, Mumbai - 400 001	9967779678	customersupport@uljk.in
Head of Customer care				
Compliance Officer	Nilesh Mavani	1119/1120, Stock Exchange Towers, Dalal Street, Fort, Mumbai - 400 001	9833241938 / 022-66510139	customersupport@uljk.in
CEO				

ii. Names and contact details of all Key Managerial Personnel including the Compliance Officer-

Sr. No.	Name of the Individual	Designation	Mobile Number	Email Id
1	Madhavi Umesh Vora	Managing Director & CEO	9821087776	madhavivora@uljk.in
2	Aditya Umesh Vora	Director	9821551764	aditya.vora@uljk.in
3	Nilesh Mavani	Compliance Officer	9833241938	customercare@uljk.in